

I/248700/2024



HARYANA STATE POLLUTION CONTROL BOARD
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HSPCB/HWM/2024/ Dated: 30-04-2024

To

The Sr. Environmental Engineer,
IT Cell, HSPCB.

Subject: Uploading of Procedure for obtaining authorization under Hazardous and other waste (Management & Transboundary Movement) Rules, 2016 on the website of the Board.

Please find enclosed herewith a copy of Procedure for obtaining authorization under Hazardous and other waste (Management & Transboundary Movement) Rules, 2016, for uploading on the website of the Board.

DA/As above

Signed by
Naveen Gulia
Sr. Environmental Engineer (HQ)
Date: 30-04-2024
For Chairman

Procedure for obtaining authorization under Hazardous and other Waste (Management & Transboundary Movement) Rules, 2016

1. Every occupier of the facility who is engaged in handling, generation, collection, storage, packaging, transportation, use, treatment, processing, recycling, recovery, pre-processing, co-processing, utilization, offering for sale, transfer or disposal of the hazardous wastes and other wastes, import of other waste listed in part D of schedule III, shall required to make an application on the online portal of HEPC i.e. <http://investharyana.in>
2. No processing fee is applicable for such authorization.
3. Checklist of documents required for obtaining authorization and 'No Objection Certificate', is given **at Annexure-A**.
4. Regional Officer mark the application to the concerned field officer for scrutiny, verification and recommendation.
5. Site Inspection :
 - a) The site inspection of the units who applies for first time authorization for generation, collection, storage, packing, transportation except the authorization desired for the activities defined under Schedule IV, Part D of Schedule III, Utilization as per Rule 9, shall be carried out by the concerned field officer as per inspection report at **Annexure B (I)**. For renewal of such authorization under the Rules, inspection shall only be carried out by the concerned field officer if the satisfactory inspection report available in the record is older than one year.
 - b) The site inspection of the units apply for the authorization for recycling/reprocessing of the hazardous waste as per schedule IV of the Rules, shall be carried out by the concerned Regional officer alongwith concerned field officer as per the inspection report at **Annexure-B (II)**.

- c) The site inspection of the units/ actual users who apply for the authorization for utilization of Hazardous & Other Waste for which standard Operating Procedure (SOPs)/ guidelines issued by CPCB, shall be carried out by the Regional officer alongwith concerned field officer as per the inspection report at **Annexure-B (III)**.
 - d) No inspection is required for the traders who apply for authorization on behalf of actual users for import of other wastes as per Part D of Schedule III of the Rules.
 - e) No inspection is required for the units located outside the state who are desirous of 'No Objection Certificate' for transportation of Hazardous and other wastes for final disposal with the unit located in the state. Also no inspection is required for the units who apply for 'No Objection Certificate' for transportation for sending their hazardous waste outside the state for final disposal.
6. After scrutiny of the application and site inspection of the industry, case will be recommended for grant of authorization by the field officer to the Regional Officer in case application and inspection is found satisfactory as per the Rules.
7. As per the instructions already laid down, the Regional Officer forwards the case to the Nodal Officer of the Board with HEPC with his recommendation for grant of authorization in case the projects having investment more than Rs. 10 crores and all the applications for recycling/reprocessing of hazardous waste as per Schedule IV of the Rules, applications for authorization for utilization including co-processing or any other use for which SOP/Guidelines has been issued by the CPCB or approval granted by CPCB and applications of traders on behalf for import of other waste as per part D of Schedule III of the Rules on behalf of the actual users. Then, with approval or with observations the applications are returned back by Nodal Officer, HEPC to the Regional Officer for issuance of authorization certificate or completion of observations raised. In case the project is having investment upto Rs. 10 crore then the authorization's issued at the level of Regional Officer itself through online system.

The cases/applications for 'No Objection Certificate' for transportation of Hazardous and other waste for final disposal where an entity located outside the state involved, shall be processed & 'No Objection Certificate will be issued by the incharge of Hazardous Waste Management Cell in the Head Office on the basis of merits of each case. Further, HSPCB reserves the right of granting 'No Objection Certificate'.

The such authorization certificates will be issued as per formats at **Annexure C(I)/C(II)/C(III)/C(IV)** as per applicability.

8. After verification if the application is found incomplete or discrepancies are observed at site, show cause notice for refusal of authorization is issued for 15 days by the Regional Officer, as the case applicable. The format of SCN is enclosed as per **Annexure-D**.
9. If the unit submits the satisfactory compliance report, the procedure as defined at Sr. No. 7 will be followed.
10. The validity of authorization will be 5 years, except traders desirous of import of other wastes as per part D of Schedule III wherein one time authorization is issued.
11. Existing, if the reply of show cause notice submitted by the unit is not found satisfactory or the unit fails to submit the reply of show cause notice within time period, and then the case is recommended by field officer to Regional Officer for refusal of the application.

Thereafter, the Regional Officer forward the case to the Nodal Officer of the Board with HEPC with his recommendation for refusal of authorization in case of the projects having investment more than Rs. 10 crores and all the applications for recycling/reprocessing of hazardous waste as per Schedule IV of the Rules, applications desirous of authorization for utilization including co-processing or any other use for which SOP/Guidelines has been issued by the CPCB or approval granted by CPCB, and applications of traders on behalf for import of other waste as per part D of Schedule III of the Rules on behalf of the actual users. Then the approved application or any observation raised is reverted by the Nodal Officer HEPC to the Regional Officer for issuance of refusal certificate or compliance of observations.

In case of the projects having investment upto Rs. 10 crore then the authorization is refused at the level of Regional Officer itself through online system.

The cases/applications for 'No Objection Certificate' for transportation of hazardous and other waste from the state for recycling and utilization including co-processing by a facility located outside the state, shall be processed by the incharge of Hazardous Waste management cell in the Head Office and refusal

of 'No Objection Certificate' will be issued by the Incharge Hazardous Waste Management Cell.

12. As per already laid down instructions, issuance of certificate of grant for authorization, the passbook for entry of hazardous & other waste, procured for recycling/ reprocessing, utilization including co-processing or any other use, imported, shall be issued by the Regional Officer to the unit. Red passbook will be issued to the recyclers/ re-processors of hazardous waste of Schedule-IV of the Rules. Orange passbook will be issued to the actual user of the hazardous & other waste for which SOP/ guidelines/ NOC has been issued by the CPCB. The blue passbook will be issued to the Traders desirous of import of other waste as per Part-D of Schedule-III of the Rules.
13. The units shall operate their projects or activities for which authorization is required under the Rules, only after obtaining prior authorization under the Rules.
14. The overall time period for deciding the application for authorization/ 'No Objection Certificate' under the Rules is 30 days.
15. The request of adding the name of the unit located outside Haryana for the manifest generation under the Hazardous and Other Waste tracking module on HROCMMS will be processed by the incharge of Hazardous Waste management cell in the Head Office after receiving the relevant documents as per **Annexure A(F)**. After getting approval from the competent authority, the application/request will be forwarded to the IT Cell and IT Cell will update the details on HROCMMS as approved by the competent authority. Further, the request of adding of name of the unit located in Haryana for manifest generation will be directly submitted to the IT Cell through the concerned Regional Officer after receiving the relevant documents.

Annexure-A

Checklist of document for obtaining Authorization under Section 6 of HOWM Rules, 2016:

A. List of common documents for all kind of authorizations:

1. Duly filled up application in form – 1 (**Online**)
2. Copy of Consent to Establish (CTE) granted by the Board (**for first time authorization**) or, Copy of valid Consent to Operate (CTO) under Water Act, 1974 and Air Act, 1981 granted by the Board (**in case of renewal of authorization**)
3. Occupier/Authorized person Certificate issued by the Management of the applicant industry
4. Copy of emergency response plan regarding procedures for dealing with emergency situations (viz. spillage or release or fire) as specified in the guidelines of CPCB (refer column no. 4 of part A of application form)
5. Undertaking or declaration to comply with all provisions including the scope of submitting bank guarantee in the event of spillage, leakage or fire while handling the hazardous and other waste (refer column no. 5 of part A of application form)
6. Plan showing the details of secured storage of Hazardous Waste wastes with storage capacity including mode of disposal
7. Process flow sheet indicating equipment details, inputs and outputs (raw materials, chemicals, products, by-products, wastes, emissions, waste water etc.) - (refer column no. 1 (b) of part B of application form)
8. Copy of proof of application submitted to the operator of CHWT/SDF for registration / membership and /or agreement made with the actual user of the Hazardous Waste as the case may be (**for first time authorization**) or, Copy of agreement made with the operator of CHWT/SDF and /or with the actual user of the Hazardous Waste as the case may be, authorized from concerned SPCB/PCC with copy of valid authorization issued from concerned SPCB/PCC (**in case of renewal of authorization**)
9. Self-certified compliance report in respect of the conditions specified in the authorization granted earlier (**in case of renewal of authorization**)
10. Copies of annual returns of last 3 years (**in case of renewal of authorization**)

B. In case the unit is engaged in the activity of recycling/re-processing for the hazardous waste as per schedule-IV of HOWM Rules, 2016, the following additional documents apart from the documents as prescribed at A:

1. Registration issued by the District Industries Centre showing installed capacity of unit (**for first time authorization**)

2. Proof of installed capacity of plant and machinery for processing the hazardous waste issued by the District Industries Centre or any other government agency authorized in this behalf **(for first time authorization)**
3. Design scheme of pollution control systems such as Effluent Treatment Plant, Air Pollution Control measures such as scrubbers, etc. including mode of disposal. **(for first time authorization)**
4. Detail of storage facility for raw material and hazardous waste generated **(for first time authorization)**
5. Report on the compliance of the guidelines issued by CPCB from time to time, for Environmentally Sound recyclers of Hazardous Waste, in case of recycling of HW
6. Self-certified compliance report in respect of effluent, emission standards supported with latest analysis report under Water Act, 1974 and Air Act, 1981 as applicable and the conditions specified in the authorization for hazardous and other wastes alongwith copies of annual returns of last 3 years **(in case of renewal of authorization)**

C. In case of the unit is engaged in Utilization of hazardous and other waste as per Rule 9 of the Hazardous and Other Wastes (Management and Transboundary movement) Rules, 2016 following additional documents apart from A above, will also be required:

1. Design scheme of pollution control systems such as Effluent Treatment Plant, Air Pollution Control Measures such as scrubbers, etc. including mode of disposal **(for first time authorization)**
2. Detail of storage facility for raw material and hazardous waste generated **(for first time authorization)**
3. Detailed Report on Standard Operating Procedure and Checklist of Minimal Requisite Facilities for utilization of hazardous waste under Rule 9 of the Hazardous and Other Wastes (Management and Transboundary movement) Rules, 2016, as per the Guidelines, directions, standards issued by the CPCB
4. Self-certified compliance report in respect of effluent, emission standards supported with latest analysis report under Water Act, 1974 and Air Act, 1981 as applicable and the conditions specified in the authorization for hazardous and other wastes alongwith copies of annual returns of last 3 years **(in case of renewal of authorization)**

D. In case of the Common Hazardous Waste Treatment, Storage And Disposal Facility (CHWT SDF) following additional documents apart from A above, will also be required:

1. Copy of prior Environmental Clearance (refer column no. 3 of part C of application form) **(for first time authorization)**
2. Design scheme of pollution control systems such as Effluent Treatment Plant, Air Pollution Control Measures such as scrubbers, etc. with Environment Management Plan **(for first time authorization)**
3. Layout/location map of the site **(for first time authorization)**
4. Report on the compliance with the Guidelines regarding development and operation of TSDF issued by Central Pollution Control Board as amended from time to time.
5. Self-certified compliance report in respect of effluent, emission standards supported with latest analysis report /AAQ and Ground Water Monitoring reports and the conditions specified in the authorization earlier granted and Environmental Clearance where ever applicable alongwith copies of annual returns of last 3 years **(in case of renewal of authorization)**

E. In case unit who is a trader, importing waste on behalf of actual users, apply for one time authorization as per rule 13 for import of other waste listed in part D() of schedule III of Hazardous and Other Wastes (Management and Transboundary movement) Rules, 2016, the following documents are required:**

1. Proof of Name and address of the trader unit.
2. Photocopy of valid trade license of godown address issued by the local authority if any.
3. Copy of registration certificate of TIN/VAT Number/ GST No.
4. Photocopy of the certificate of the Importer-Exporter Code issued by the Ministry of Commerce & Industry govt. of India.
5. Copy of valid consent to operate under Water Act, 1974/Air Act, 1981/authorization under HWM Rules issued by concerned State Pollution Control Board in favor of authorized actual user(s) duly signed and stamped by the authorized actual and applicant.
6. In case the waste proposed to be imported is covered under schedule-IV of the said Rules, then copy of registration under Hazardous Waste (Management Handling & Trans-boundary Movement) Rules, 2008 or Hazardous & Other Waste (Management & Trans-boundary Movement) Rules, 2016, as the case may be, in favor of actual user(s) for which the applicant intended to import hazardous waste, issued by concerned SPCB/PCC, for re-processing/recycling of hazardous waste covered under Schedule-IV,.
7. Authority letter in favor of applicant to sign the said Rules.
8. Description and quantity of waste to be imported for actual user(s) has to be provided with Basel No. separately for individual actual user(s), in column no. 3.

F. For the facilities established outside/inside the State and desirous of 'No Objection Certificate' for transportation of Hazardous and Other Waste for final disposal from the units operating in the state/outside, the following documents will be required

1. Details of the units along with category and quantity of waste from where hazardous and other waste will be collected and Copy of the consent to operate and relevant authorization under the Rules granted to such units.
2. Copy of consent to operate (of both units) and relevant authorization granted to the unit from the concerned State Pollution Control Board (both the units).
3. Copy of Emergency Response Plan (in the event of spillage, leakage, etc.) of the transporter.
4. Undertaking by the unit (which also includes that the documents submitted are correct and proper).
5. Copy of the Standard Operating Procedure issued by the CPCB for the hazardous and other waste for which 'No Objection Certificate' is applied for transportation (where ever applicable).
6. Any other relevant document asked by HSPCB.

G. For the facilities established outside the State and desirous of adding their name for transportation of Hazardous and Other Waste for recycling or utilization including co-processing and final disposal from the units operating in the state/outside, the following documents will be required:

1. Copy of consent to operate and relevant authorization granted to the unit from the concerned State Pollution Control Board (including the details of vehicles).
2. Copy of Emergency Response Plan (in the event of spillage, leakage, etc.) of the transporter.
3. Undertaking by the unit includes that the documents submitted are correct and proper.
4. Undertaking by the unit that the unit will provide the GPS tracking system in the vehicles and will share ID credentials necessary for live tracking of vehicles.
5. Copy of the Standard Operating Procedure issued by the CPCB for the hazardous and other waste for which 'No Objection Certificate' is applied for transportation (where ever applicable).
6. Documents necessary for the creating HROCMS ID (will be notified by a separate order).
7. Any other relevant document asked by HSPCB.

Annexure-B (I)

Verification report for the application of authorization for Hazardous Waste generators (Industries except recycling facilities as per schedule IV, Actual users as per Rule 9 of the Rules) as per Hazardous & Other Waste (M&TM) Rules, 2016 regarding M/s

1. Name of the unit :
2. Location of the unit :
3. Date of Receipt of application :
4. Capital investment cost of the unit :
5. Date of issuance of CTE with validity date :
6. Date of issue of CTO with validity date :
7. Date of completion of the project :
8. Date of inspection :
9. Name & designation of the inspecting officer :
10. Details of Hazardous waste generated : Define Categories as per HOWM Rules 2016 along with Quantities

11. Detail of storage facility at site for storage of hazardous waste material :

12. Mode of final disposal of Hazardous Waste :

13. Status regarding membership/ agreement with CHWTSDF :

14. Detail of ETP/APCM installed along with components :

15. Status of Energy meter installed on PCD :
16. Quantity of effluent & its final mode of disposal : Quantity Mode of Disposal
 Domestic Effluent
 Trade Effluent
17. Height of Stack(s) attached with Process, from ground level (in meters) :
18. Stack height of DG sets from ground level (in meters) :
19. Give details regarding compliance of the conditions specified in the authorization/ registration earlier granted (for renewal cases) :
20. Remarks

21. Recommendations

a. (In case of grant)

Certified that the applicant is utilizing environmentally sound technologies and possesses adequate technical capabilities, requisite facilities and equipment to recycle / reprocess hazardous waste. Therefore authorization to the applicant is recommended.

b. (In case of refusal)

In case the applicant is not complying the above mentioned stipulations then the Regional Officer will issue show cause notice for refusal of authorization to the unit before sending the case for rejection and will submit final recommendations thereafter on the basis of reply submitted by the applicant.

Signature of Field Officer
Name & Designation

Annexure-B (II)

Verification report for the application of authorization for recycling/re-processing of Hazardous Waste listed in schedule-IV of Hazardous & Other Waste (M&TM) Rules, 2016 regarding M/s _____

1. Name of the unit :
2. Location of the unit :
3. Date of Receipt of application :
4. Capital investment cost of the unit :

5. Date of issuance of CTE with validity date :
6. Date of issue of CTO with validity date :
7. Date of completion of the project :
8. Date of inspection :
9. Name & designation of the inspecting officers :
10. Details of Hazardous waste generated : Define Categories as per HOWM Rules 2016 along with Quantities
11. Details of source of Hazardous Waste :
12. Quantity of Hazardous Waste to be bereprocessed/ recycled : Define Categories as per HOWM Rules 2016 along with Quantities

13. Installed capacity of unit for reprocessing the hazardous waste :
14. Mode of final disposal of Hazardous Waste :
15. Status regarding membership/ agreement with CHWTSDF :
16. Detail of ETP/APCM installed (along with component) :
17. Detail of storage facility at site for storage of raw material (hazardous waste to be processed) & hazardous waste to be generated :
18. Status of Energy meter installed on PCD :
19. Quantity of effluent & its final mode of disposal : Quantity Mode of Disposal
Domestic Effluent
Trade Effluent
20. Height of Stack(s) attached with process from ground level (in meters) :
21. Stack height of DG sets from :

ground level (in meters)
22. Comments & details on compliance of :
guidelines followed by the unit for
installation of Environmental sound
technology regarding commonly
recyclable Hazardous Waste as per
schedule IV of HW(TM) Rules prepared
by CPCB in January, 2010 or as amended
from time to time.

23. Give details regarding compliance :
of the conditions specified in the
authorization/ registration earlier
granted (for renewal cases)

24. Remarks

25. Recommendations

a. (In case of grant)

Certified that the applicant is utilizing environmentally sound technologies and possesses adequate technical capabilities, requisite facilities and equipment to recycle / reprocess hazardous waste. Therefore authorization to the applicant is recommended.

b. (In case of refusal)

In case the applicant is not complying the above mentioned stipulations then the Regional Officer will issue show cause notice for refusal of authorization to the unit before sending the case for rejection and will submit final recommendations thereafter on the basis of reply submitted by the applicant.

Signature of Field Officer
Name & Designation

Signature of Regional Officer
Name

Annexure-B (III)

Verification report for the application of authorization for actual users of Hazardous or other Wastes (as per Rule 9) under Hazardous & Other Waste (M&TM) Rules, 2016 regarding M/s _____

1. Name of the unit :
2. Location of the unit :
3. Date of Receipt of application :
4. Capital investment cost of the unit :

5. Date of issuance of CTE with validity date :
6. Date of issue of CTO with validity date :
7. Date of completion of the project :
8. Date of inspection :
9. Name & designation of the officers inspected the unit :
10. Details of Hazardous waste generated : Define Categories as per HOWM Rules 2016 along with Quantities
11. Details of source of Hazardous Waste/ other waste to be procured :
12. Quantity of Hazardous/ other Waste to be utilized : Define Categories as per HOWM be Rules 2016 along with Quantities

13. Installed capacity of unit for utilization the hazardous/other waste :
14. Mode of final disposal of Hazardous Waste :
15. Status regarding membership/ agreement with CHWTSDF :
16. Detail of ETP/APCM installed (along with component) :
17. Detail of storage facility at site for storage of raw material (hazardous/ other waste to be utilised) & hazardous waste to be generated :
18. Status of Energy meter installed on PCD :
19. Quantity of effluent & its final mode of disposal : Quantity Mode of Disposal
Domestic Effluent
Trade Effluent
20. Height of Stack(s) attached with process from ground level (in meters) :
21. Stack height of DG sets from :

ground level (in meters)
22. Detailed Report on Standard Operating Procedure and Checklist of Minimal Requisite Facilities for utilization of hazardous waste under Rule 9 of the Hazardous and Other Wastes (Management and Transboundary movement) Rules, 2016, as per the Guidelines, directions, standards issued by the CPCB

23. Give details regarding compliance of the conditions specified in the authorization earlier granted (for renewal cases)

24. Remarks

25. Recommendations

a. (In case of grant)

Certified that the applicant is utilizing environmentally sound technologies and possesses adequate technical capabilities, requisite facilities and equipment to utilize hazardous/other waste. Unit is complying with the standard operating procedure defined by the CPCB and the facility is established as per SOP. Therefore authorization to the applicant is recommended.

b. (In case of refusal)

In case the applicant is not complying the above mentioned stipulations then the Regional Officer will issue show cause notice for refusal of authorization to the unit before sending the case for rejection and will submit final recommendations thereafter on the basis of reply submitted by the applicant.

Signature of Field Officer
Name & Designation

Signature of Regional Officer
Name

Annexure-C(I)

To

M/s _____

Sub: Grant of Authorization under Hazardous and Other Wastes(Management & Transboundry Movement) Rules, 2016

1. Reference of your application no.: _____ dated: _____

2. M/s _____ is hereby granted an authorization for _____ on the premises situated at _____

Detail of Authorization

Sr. No.	Name of process and Category of Hazardous Waste as per the Schedules I, II and III of these rules	Authorized mode of disposal or recycling or utilization or co-processing, etc.	Quantity

1. The authorization shall be valid for a period of _____ to _____

2. The authorization is subject to the following general and specific conditions :-

General Conditions:

1. The authorised person shall comply with the provisions of the Environment (Protection) Act, 1986, and the rules made there under.

2. The authorization or its renewal shall be produced for inspection at the request of an officer authorised by the State Pollution Control Board.

3. The person authorised shall not rent, lend, sell, transfer or otherwise transport the hazardous and other wastes except what is permitted through this authorization.

4. Any unauthorised change in personnel equipment or working conditions as mentioned in the application by the person authorised shall constitute a breach of this authorization.

5. The person authorised shall implement Emergency Response Procedure (ERP) for which this authorization is being granted considering all site specific possible scenarios such as spillages, leakages, fire etc. and their possible impacts and also carry out mock drill in this regard at regular interval of time.

6. The person authorised shall comply with the provisions outlined in the Central Pollution Control Board guidelines on "Implementing Liabilities for Environmental Damages due to Handling and Disposal of Hazardous Waste and Penalty".

7. The occupier handling hazardous or other wastes and operator of the treatment, storage and disposal facility shall ensure that the hazardous and other wastes are packaged in a manner suitable for safe handling, storage and transport as per the guidelines issued by the Central Pollution Control Board from time to time. The labelling shall be done as per Form 8 as per the Rules and The label shall be of non-washable material, weather proof and easily visible.

8. The transport of the hazardous and other waste shall be in accordance with the provisions of these rules and the rules made by the Central Government under the Motor Vehicles Act, 1988 and the guidelines issued by the Central Pollution Control Board from time to time in this regard.

9. The occupier shall provide the transporter with the relevant information in Form 9, regarding the hazardous nature of the wastes and measures to be taken in case of an emergency and shall label the hazardous and other wastes containers as per Form 8.

10. In case of transportation of hazardous and other waste for final disposal to a facility existing in a State other than the State where the waste is generated, the sender shall obtain 'No Objection Certificate' from the State Pollution Control Board of both the States. In case of transportation of hazardous and other waste for recycling or utilisation including co-processing, the sender shall intimate both the State Pollution Control Boards before handing over the waste to the transporter.

11. In case of transit of hazardous and other waste for recycling, utilisation including coprocessing or disposal through a State other than the States of origin and destination, the sender shall give prior intimation to the concerned State Pollution Control Board of the States of transit before handing over the wastes to the transporter. In case of transportation of hazardous and other waste, the responsibility of safe transport shall be either of the sender or the receiver whosoever arranges the transport and has the necessary authorisation for transport from the concerned State Pollution Control Board. This responsibility should be clearly indicated in the manifest. The authorisation for

transport shall be obtained either by the sender or the receiver on whose behalf the transport is being arranged.

12. Where an accident occurs at the facility of the occupier handling hazardous or other wastes and operator of the disposal facility or during transportation, the occupier or the operator or the transporter shall immediately intimate the State Pollution Control Board through telephone, e-mail about the accident and subsequently send a report in Form-11.

13. The occupier handling hazardous or other wastes and operator of disposal facility shall maintain records of such operations in Form 3. The occupier handling hazardous and other wastes and operator of disposal facility shall send annual returns to the State Pollution Control Board in Form 4, by 30th June.

14. The sender of the waste shall prepare and maintain the manifest as per Form 10 of Rule 19.

15. The occupiers of facilities may store the hazardous and other wastes for a period not exceeding ninety days and shall maintain a record of sale, transfer, storage, recycling, recovery, pre-processing, co-processing and utilisation of such wastes and make these records available for inspection

16. An application for the renewal of an authorization shall be made as laid down under these Rules.

17. Any other conditions for compliance as per the guidelines issued by the Ministry of Environment, Forest and Climate Changes or Central Pollution Control Board from time to time.

18. The non compliance of the rules are punishable under Section 5/15 of Environment (Protection) Act, 1986.

Specific Conditions:

1. _____
2. _____

Da/Field Inspection Report

Regional Officer
Haryana State Pollution Control Board

Annexure-C(II)

To

M/s _____

Sub: Grant of authorization for Recycling of Hazardous Waste as listed in Schedule - IV under Hazardous & Other Waste (Management & Transboundary Movement) Rules, 2016.

1. Reference of your application no.: _____ dated: _____

2. M/s _____ is hereby granted an authorization for _____ on the premises situated at _____

Detail of Authorization

Sr. No.	Name of process and category of Hazardous waste as per Schedule IV of the Rules	Authorized mode of disposal or recycling or utilization or co-processing, etc.	Quantity

1. The authorization shall be valid for a period of _____ to _____

2. The authorization is subject to the following general and specific conditions :-

General Conditions:

1. The authorised person shall comply with the provisions of the Environment (Protection) Act, 1986, and the rules made there under.

2. The authorization or its renewal shall be produced for inspection at the request of an officer authorised by the State Pollution Control Board.

3. The person authorised shall not rent, lend, sell, transfer or otherwise transport the hazardous and other wastes except what is permitted through this authorization.

4. Any unauthorised change in personnel equipment or working conditions as mentioned in the application by the person authorised shall constitute a breach of this authorization.

5. The person authorised shall implement Emergency Response Procedure (ERP) for which this authorization is being granted considering all site specific possible scenarios such as spillages, leakages, fire etc. and their possible impacts and also carry out mock drill in this regard at regular interval of time.

6. The person authorised shall comply with the provisions outlined in the Central Pollution Control Board guidelines on "Implementing Liabilities for Environmental Damages due to Handling and Disposal of Hazardous Waste and Penalty".

7. The occupier handling hazardous or other wastes and operator of the treatment, storage and disposal facility shall ensure that the hazardous and other wastes are packaged in a manner suitable for safe handling, storage and transport as per the guidelines issued by the Central Pollution Control Board from time to time. The labelling shall be done as per Form 8 as per the Rules and The label shall be of non-washable material, weather proof and easily visible.

8. The transport of the hazardous and other waste shall be in accordance with the provisions of these rules and the rules made by the Central Government under the Motor Vehicles Act, 1988 and the guidelines issued by the Central Pollution Control Board from time to time in this regard.

9. The occupier shall provide the transporter with the relevant information in Form 9, regarding the hazardous nature of the wastes and measures to be taken in case of an emergency and shall label the hazardous and other wastes containers as per Form 8.

10. In case of transportation of hazardous and other waste for final disposal to a facility existing in a State other than the State where the waste is generated, the sender shall obtain 'No Objection Certificate' from the State Pollution Control Board of both the States. In case of transportation of hazardous and other waste for recycling or utilisation including co-processing, the sender shall intimate both the State Pollution Control Boards before handing over the waste to the transporter.

11. In case of transit of hazardous and other waste for recycling, utilisation including coprocessing or disposal through a State other than the States of origin and destination, the sender shall give prior intimation to the concerned State Pollution Control Board of the States of transit before handing over the wastes to the transporter. In case of transportation of hazardous and other waste, the responsibility of safe transport shall be either of the sender or the receiver whosoever arranges the transport and has the necessary authorisation for transport from the concerned State Pollution Control Board. This responsibility should be clearly indicated in the manifest. The authorisation for

transport shall be obtained either by the sender or the receiver on whose behalf the transport is being arranged.

12. Where an accident occurs at the facility of the occupier handling hazardous or other wastes and operator of the disposal facility or during transportation, the occupier or the operator or the transporter shall immediately intimate the State Pollution Control Board through telephone, e-mail about the accident and subsequently send a report in Form-11.

13. The occupier handling hazardous or other wastes and operator of disposal facility shall maintain records of such operations in Form 3. The occupier handling hazardous and other wastes and operator of disposal facility shall send annual returns to the State Pollution Control Board in Form 4, by 30th June.

14. The sender of the waste shall prepare and maintain the manifest as per Form 10 of Rule 19.

15. The occupiers of facilities may store the hazardous and other wastes for a period not exceeding ninety days and shall maintain a record of sale, transfer, storage, recycling, recovery, pre-processing, co-processing and utilisation of such wastes and make these records available for inspection

16. The imported hazardous and other wastes, if any, shall be fully insured for transit as well as for any accidental occurrences and its clean-up operation

17. The record of consumption and fate of the imported hazardous and other waste, if any, shall be maintained

18. The hazardous waste including residue generated from the recycling process shall be disposed off as per the Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016

19. In case of import of hazardous waste by the unit, the relevant provisions for import of the hazardous waste in the Hazardous and Other Waste (Management and Transboundary Movement) Rules, 2016 shall be complied with and the unit shall bear the cost of import or export and mitigation of damages if any.

20. Unit shall maintain the Environmentally sound management technologies at site as prescribed by CPCB and declared at the time of inspection and shall meet the Environmental Discharge Standards.

21. An application for the renewal of an authorization shall be made as laid down under these Rules.

22. Any other conditions for compliance as per the guidelines issued by the Ministry of Environment, Forest and Climate Changes or Central Pollution Control Board from time to time.

23. The non compliance of the rules are punishable under Section 5/15 of Environment (Protection) Act, 1986.

Specific Conditions:

1. _____
2. _____

Da/Field Inspection Report

Regional Officer
Haryana State Pollution Control Board

Annexure-C(III)

To

M/s _____

Sub: Grant of authorization for Utilization of Hazardous and Other Waste as a resource or after pre-processing either for co-processing or recycling or for any other use under Rule 9 of Hazardous & Other Wastes (Management and Transboundary Movement) Rules, 2016..

1. Reference of your application no.: _____ dated: _____

2. M/s _____ is hereby granted an authorization for _____ on the premises situated at _____

Detail of Authorization

Sr. No.	Detail of Hazardous Waste and other waste (to be utilized)	Authorised processing activity Utilization as resource/preprocessing for co-processing /recycling/ any other use	Quantity

1. The authorization shall be valid for a period of _____ to _____

2. The authorization is subject to the following general and specific conditions :-

General Conditions:

1. The authorised person shall comply with the provisions of the Environment (Protection) Act, 1986, and the rules made there under.

2. The authorization or its renewal shall be produced for inspection at the request of an officer authorised by the State Pollution Control Board.

3. The person authorised shall not rent, lend, sell, transfer or otherwise transport the hazardous and other wastes except what is permitted through this authorization.
4. Any unauthorised change in personnel equipment or working conditions as mentioned in the application by the person authorised shall constitute a breach of this authorization.
5. The person authorised shall implement Emergency Response Procedure (ERP) for which this authorization is being granted considering all site specific possible scenarios such as spillages, leakages, fire etc. and their possible impacts and also carry out mock drill in this regard at regular interval of time.
6. The person authorised shall comply with the provisions outlined in the Central Pollution Control Board guidelines on "Implementing Liabilities for Environmental Damages due to Handling and Disposal of Hazardous Waste and Penalty".
7. The occupier handling hazardous or other wastes and operator of the treatment, storage and disposal facility shall ensure that the hazardous and other wastes are packaged in a manner suitable for safe handling, storage and transport as per the guidelines issued by the Central Pollution Control Board from time to time. The labelling shall be done as per Form 8 as per the Rules and The label shall be of non-washable material, weather proof and easily visible.
8. The transport of the hazardous and other waste shall be in accordance with the provisions of these rules and the rules made by the Central Government under the Motor Vehicles Act, 1988 and the guidelines issued by the Central Pollution Control Board from time to time in this regard.
9. The occupier shall provide the transporter with the relevant information in Form 9, regarding the hazardous nature of the wastes and measures to be taken in case of an emergency and shall label the hazardous and other wastes containers as per Form 8.
10. In case of transportation of hazardous and other waste for final disposal to a facility existing in a State other than the State where the waste is generated, the sender shall obtain 'No Objection Certificate' from the State Pollution Control Board of both the States. In case of transportation of hazardous and other waste for recycling or utilisation including co-processing, the sender shall intimate both the State Pollution Control Boards before handing over the waste to the transporter.
11. In case of transit of hazardous and other waste for recycling, utilisation including coprocessing or disposal through a State other than the States of origin and destination, the sender shall give prior intimation to the concerned State Pollution Control Board of the States of transit before handing over the wastes to the transporter. In case of transportation of hazardous and other waste, the responsibility of safe transport shall be

either of the sender or the receiver whosoever arranges the transport and has the necessary authorisation for transport from the concerned State Pollution Control Board. This responsibility should be clearly indicated in the manifest. The authorisation for transport shall be obtained either by the sender or the receiver on whose behalf the transport is being arranged.

12. Where an accident occurs at the facility of the occupier handling hazardous or other wastes and operator of the disposal facility or during transportation, the occupier or the operator or the transporter shall immediately intimate the State Pollution Control Board through telephone, e-mail about the accident and subsequently send a report in Form-11.

13. The occupier handling hazardous or other wastes and operator of disposal facility shall maintain records of such operations in Form 3. The occupier handling hazardous and other wastes and operator of disposal facility shall send annual returns to the State Pollution Control Board in Form 4, by 30th June.

14. The sender of the waste shall prepare and maintain the manifest as per Form 10 of Rule 19.

15. The occupiers of facilities may store the hazardous and other wastes for a period not exceeding ninety days and shall maintain a record of sale, transfer, storage, recycling, recovery, pre-processing, co-processing and utilisation of such wastes and make these records available for inspection

16. The imported hazardous and other wastes, if any, shall be fully insured for transit as well as for any accidental occurrences and its clean-up operation

17. The record of consumption and fate of the imported hazardous and other waste, if any, shall be maintained

18. The hazardous waste including residue generated from the recycling process shall be disposed off as per the Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016

19. In case of import of hazardous waste by the unit, the relevant provisions for import of the hazardous waste in the Hazardous and Other Waste (Management and Transboundary Movement) Rules, 2016 shall be complied with and the unit shall bear the cost of import or export and mitigation of damages if any.

20. Unit shall maintain the Environmentally sound management technologies at site as prescribed by CPCB and declared at the time of inspection and shall meet the Environmental Discharge Standards or unit shall maintain the facility and its operation

as per the Standard Operating Procedure defined by the Central Pollution Control Board.

21. An application for the renewal of an authorization shall be made as laid down under these Rules.

22. Any other conditions for compliance as per the guidelines issued by the Ministry of Environment, Forest and Climate Changes or Central Pollution Control Board from time to time.

23. The non compliance of the rules are punishable under Section 5/15 of Environment (Protection) Act, 1986.

Specific Conditions:

1. _____
2. _____

Da/Field Inspection Report

Regional Officer
Haryana State Pollution Control Board

Annexure-C(IV)

To

M/s _____

Sub: Authorization under Rule 13 as Trader for importing the other wastes ()
specified in Part D of Schedule-III on behalf of actual user (s) under
Hazardous and other Wastes (Management & Transboundary Movement)
Rules, 2016.**

1. Reference of your application no.: _____ dated: _____

In this connection, it is intimated that your application for grant of Authorization as Trader for importing, on behalf of actual user (s), the other wastes (**) specified in Part D of Schedule- III under Hazardous and other Wastes (Management & Transboundary Movement) Rules, 2016, received vide your letter under reference, has been considered and after examination it has been decided by the competent authority to grant the authorization under section 13 the above said rules as trader to import the other wastes (**) specified in Part D of Schedule-III, on behalf of the actual user (s) as per detail given below:-

Detail of Authorization

Sr. No.	Description of other waste to be imported	Quantity of other waste to be imported	Name and address of actual user (s)

The one time authorization so granted is subject to compliance of the provisions as specified in the above said Hazardous and other Wastes (Management & Transboundary Movement) Rules, 2016 regarding import of other waste by the trader on behalf of the actual user (s) and with the following conditions:-

- i) The authorization will be valid for import of other wastes (**) only on behalf of the actual user (s) as per detail given above subject to the availability of facility for processing the other waste (**) with the actual user (s) authorized

by concerned State Pollution Control Board (SPCB)/Pollution Control Committee (PCC).

- ii) Import is permitted only on behalf of actual user (s) of the imported waste who have valid consent under Water Act, 1974, Air Act, 1981 & Authorization under Hazardous and other Wastes (Management and Transboundary Movement) Rules, 2016 as applicable from the concerned State Pollution Control Board and facility, for recycling/reprocessing of other waste (**) mentioned above.
- iii) The importer should obtain a letter /certificate from the actual user (s) prior to import stating that the importer is importing the hazardous wastes on his behalf.
- iv) Annual returns will be submitted by the trader importing the other waste in the prescribed form to this Board along with copies of Bill of Lading, Bill of Entry etc.
- v) All imported Hazardous Waste are to be transferred from the Port directly to the Actual User (s) on whose behalf the import has been made. The manifest system for transport of Hazardous waste in Form -10 as per Rule 19 (1) shall be followed and packing & labeling and transportation of hazardous waste shall be done in accordance with the provision of rule 17 & 18 of Hazardous and other Wastes (M&TM) Rules, 2016 as amended from time to time.
- vi) In case of illegal import of hazardous waste or import of hazardous wastes other than those mentioned above, listed in Part-D of the Hazardous and other Wastes (M&TM) Rules, 2016, the waste will be re-exported by the Importer at his own cost within a period of 90 days from the date of its arrival in India.
- vii) That the authorization so granted shall be applicable for trading of hazardous waste on behalf of actual user (s) only, failing which authorization will be cancelled without any notice. M/s Industrial engineering solution
- viii) Only items and quantities of waste/ hazardous waste as mentioned above will be imported by said applicant.
- ix) The applicability of authorization is subject to compliance of directions of Ministry of Environment & Forests, Govt. of India as issued from time to time.
- x) The Importer shall comply with all provisions of the Environment (protection) Act, 1986 and Rules made there under as applicable.

- xi) The authorization shall be made available for inspection to officials authorized by this Board, CPCB or MoEF & CC.
- xii) The Trader unit will supply the imported other waste only to the authorized recyclers/re-processors of other waste, authorized/registered by concerned SPCB/PCC under Hazardous Waste (Management, Handling & Transboundary Movement) Rules, 2008 or Hazardous and other Wastes (M&TM) Rules, 2016 as the case may be.
- xiii) The Trader will submit details of material imported and particulars of the actual user along with quantity to Haryana State Pollution Control Board on annual basis or before the 30th day of June, following the financial year to which that the return relates, as per Sub-Rule 8 of rule 13 of Hazardous and other Wastes (M&TM) Rules, 2016 as amended time to time and the said authorization so granted would be liable for cancellation/ suspension in case of failure to furnish the above mentioned annual returns.
- xiv) The trader unit will comply all the Provision/Rules related to import of other waste (**) prescribed under Hazardous and other Wastes (Management, & Transboundary Movement) Rules, 2016 and will obtain necessary & required clearance from the concerned authorities as per provisions of the said Rules before importing the waste.
- xv) Authorization will be cancelled or revoked in case the unit fails to comply with any of the conditions imposed in this authorization.

Specific Conditions:

- 1. _____
- 2. _____

**Environmental Engineer
Haryana State Pollution Control Board**

Annexure-D

To

M/s _____

Sub: Show Cause notice for refusal of authorization under Hazardous and other waste (management and tranboundary) Rules, 2016.

Please refer to your application for authorization under Hazardous and other waste (management and tranboundary) Rules, 2016 received in the Board on dated _____.

Whereas, your application has been processed and following incompleteness/deficiencies observed in your application for authorization.

Incompletion/deficiencies:

- 1.
- 2.

Therefore, you are hereby show caused for ____ days for the compliance of above deficiencies/ incompleteness in your application. It is intimated that no further opportunity for compliance of above deficiencies will be awarded and authorization sought vide your above referred application will be refused.

In future, your unit would be discharging the hazardous and other waste or activities at your own risk in violation of the above said rules and rendering your unit liable for closure/legal action under Section 5/15 of Environment (Protection) Act, 1986.

**Environmental Engineer/Regional Officer
Haryana State Pollution Control Board**