



HARYANA STATE POLLUTION CONTROL BOARD

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HSPCB

Order

The Ministry of Environment and Forests, Govt. of India vide notification no. GSR.320 E dated 18.03.2016 has issued the Plastic Waste (Management) Rules, 2016 applicable w.e.f. 18.03.2016 and authorized the State Pollution Control Board for grant of registration as producer and brand owners, recyclers and processors, manufacturers under Plastic Waste (Management) Rules, 2016.

The industry department Govt. of Haryana has issued notification vide no. 49/53/2005 - 41B1 dt:- 03.02.2016 for issuance of various clearances through HEPC/single window. The applicant desirous for obtaining registration as producer and brand owners, recyclers and processors, manufacturers under Plastic Waste (Management) Rules, 2016 shall submit the application on HEPC portal regarding grant / renewal of registration as producer and brand owners, recyclers and processors, manufacturers on Form I/Form II/Form III. The producer and brand owners will submit application on Form I alongwith the list of the documents attached as **Annexure-'A'**. The recycler / processors will have to submit the application for registration on Form-II alongwith the list of the documents attached as **Annexure-'B'**. The manufacturer will have to submit the application for registration alongwith the list of the documents attached as **Annexure-'C'**.

After the receipt of complete case on HEPC portal from producer and brand owners, recyclers and processors, manufacturers under Plastic Waste (Management) Rules, 2016, the State Pollution Control Board, after such enquiry as it considers necessary and on being satisfied that the applicant possesses appropriate facilities, technical capabilities and equipment to handle Plastic Waste safely, may grant registration to the applicant on fulfillment of the conditions as may be laid down in terms of registration. The inspection shall be carried out by the Officer of Board for verifying the submissions of unit and compliance of PWM Rules, 2016 as per format at **Annexure-'D'**.

State Pollution Control Board shall take a decision on the grant of registration within stipulated time period as prescribed by HEPC. The registration granted under this rule shall initially be valid for a period of 01 year, unless revoked, suspended or cancelled and shall subsequently be granted for 03 years. Every application for renewal of registration shall be made atleast 120 days before the expiry of validity of registration certificate.

The power to decide cases of registration for grant / refusal shall be as per following criteria:-

1. Regional Officer may decide the registration under Plastic Waste (Management) Rules, 2016 for units having investment upto 10 crores or CLU upto 01 Acre.
2. Regional Officer shall obtain approval of Nodal Officer, HEPC, Panchkula for projects with investment above 10 crores or CLU Cases more than 01 Acre.

The status of all such registration granted by the Board will be displayed on the website of the Board under the heading "registered producers and brand owners, recyclers and processors, manufacturer under Plastic Waste (Management) Rules 2016".

All the concerned shall ensure the compliance of the above said decision of the Board effectively.

Dated Panchkula, the
05th June, 2018

Ashok Kheterpal
Chairman, HSPCB

Endst. No./HSPCB/2018/ 4208-4231

Dated:- 05/06/2018

A copy of the above alongwith Annexure is forwarded to the following for information of the officers.

1. All Regional Officers in the Field.
2. All Officers in Head Office.
3. Nodal Officer (IT)(HQ). He is requested to upload the above procedure on the website of the Board.
4. Nodal Officer HEPC, Panchkula.

DA/- As above.

05/06/2018
Environmental Engineer (HQ)
For Chairman, HSPCB

9/c

List of the documents required for producer and Brand owners

1st Time Registration

1. Application in Form-1
2. Manufacturing process flow chart with manufacturing capacity.
3. List and quantity of raw material, product and by product.
4. Copy of valid CTE/CTO under Water Act, 1974 and Air Act, 1981.
5. List of person/industries supplying plastic to be used as raw material to manufacturer plastic sheet of like or multilayer packaging.
6. List of personnel/Industries or Brand Owners to whom the products will be supplied.

1st time renewal of Registration

1. Application in Form-1
2. Action plan endorsed by Secretary incharge of Urban Local Body Deptt. for setting up of Plastic Waste Management System and collecting back the plastic waste.
3. Copy of valid consent under Water Act, 1974 and Air Act, 1981.
4. Certificate regarding no change in capacity /process.

If there is any change, then following documents are also required.

1. List of person supplying plastic to be used as raw material to manufacturer plastic sheet of like or multilayer packaging.
2. List of personnel or Brand Owners to whom the products will be supplied.

Auto Renewal of Registration

1. Application in Form-1
2. Copy of valid consent under Water Act and Air Act, 1981.
3. Certificate regarding no change in capacity /process.

FORM - I

[See rules 13 (2)]

APPLICATION FOR REGISTRATION FOR PRODUCERS or Brand Owners

From:

.....

.....(Name and full address of the occupier)

To

The Member Secretary,

..... Pollution Control Board or Pollution Control Committee

.....

.....

Sir,

I /We hereby apply for registration under rule 9 of the Plastic Waste Management Rules, 2015

1. Producers

PART - A GENERAL		
1.(a)	Name and location of the unit	
(b)	Address of the unit	
(c)	Registration required for manufacturing of: (i) Carry bags: (a) petro- based. (b) Compostable (ii) Multilayered plastics	
(d)	Manufacturing capacity	
(e)	In case of renewal, previous registration number and date of registration	
2.	Is the unit registered with the District Industries Centre of the State Government or Union territory? If yes, attach a copy.	
3.(a)	Total capital invested on the project	
(b)	Year of commencement of production	
4. (a)	List and quantum of products and by-products	
(b)	List and quantum of raw materials used	
5.	Furnish a flow diagram of manufacturing process showing input and output in terms of products and waste generated including for captive power	

	generation and water.	
6.	Status of compliance with these rules- Thickness – fifty micron (Yes/No)	
PART - B PERTAINING TO LIQUID EFFLUENT AND GASEOUS EMISSIONS		
7.	(a) Does the unit have a valid consent under the Water (Prevention and control of Pollution) Act, 1974 (6 of 1974)? If yes, attach a copy	
	(b) Does the unit have a valid consent under the Air (Prevention and Control of Pollution) Act, 1981 (14 of 1981)? If yes, attach a copy	
PART - C PERTAINING TO WASTE		
8.	Solid Wastes or rejects: (a) Total quantum of waste generated (b) Mode of storage within the plant (c) Provision made for disposal of wastes	
9.	Attach or Provide list of person supplying plastic to be used as raw material to manufacture carry bags or plastic sheet of like or multilayered packaging	
10.	Attach or provide list of personnel or brand Owners to whom the products will be supplied	
11.	Action plan on collecting back the plastic wastes	
		Name and Signature
		Designation
Date :		
Place :		

II Brand Owners:

PART - A GENERAL		
1.	Name, address and Contact number	
2.	In case of renewal, previous registration number and date of registration	
3.	Is the unit registered with the District Industries Centre of the State Government or Union	

	territory? If yes, attach a copy.	
4.(a)	Total capital invested on the project	
(b)	Year of commencement of production	
5. (a)	List and quantum of products and by-products	
(b)	List and quantum of raw materials used	
PART - B		
PERTAINING TO LIQUID EFFLUENT AND GASEOUS EMISSIONS		
5	Does the unit have a valid consent under the Water (Prevention and control of Pollution) Act, 1974 (6 of 1974)? If yes, attach a copy	
6	Does the unit have a valid consent under the Air (Prevention and Control of Pollution) Act, 1981 (14 of 1981)? If yes, attach a copy	
PART - C		
PERTAINING TO WASTE		
7.	Solid Wastes or rejects: (c) Total quantum of waste generated (d) Mode of storage within the plant (d) Provision made for disposal of wastes	
8.	Attach or Provide list of person supplying plastic material	
9	Action plan on collecting back the plastic wastes	
		Name and Signature
		Designation
Date :		
Place :		

List of the documents required for recyclers and processor

1st Time Registration

1. Application in Form-II
2. Valid consent under Water Act, 1974 and Air Act, 1981
3. The Certificate of registration issued by DIC or any other Govt. agencies authorized in the regard
4. Proof of manufacturing capacity issued by industries, Department Haryana.
5. Manufacturing process flow chart with manufacturing capacity
6. Details of Waste Collection and transportation.
7. Occupational safety and health aspects alongwith fire and accident measures.
8. Details of the disposal facility, whether the facility is authorized by SPCB
9. Details of Pollution Control Measures installed.

1st time renewal of Registration

1. Application in Form-II
2. Action plan endorsed by Secretary Incharge of Urban Local Body Deptt. for setting up of Plastic Waste Management System and collecting back the plastic waste.
3. Valid consent under Water Act, 1974 and Air Act, 1981
4. Certificate regarding no change in capacity /process.

If there is any change, then following documents are also required.

1. List of person supplying plastic to be used as raw material to manufacturer plastic sheet of like or multilayer packaging.
2. List of personnel or Brand Owners to whom the products will be supplied.

Auto Renewal of Registration

1. Application in Form-1
2. Copy of valid consent under Water Act and Air Act, 1981.
3. Certificate regarding no change in capacity /process.

FORM - II
[see rule 13 (3)]

**APPLICATION FORM FOR REGISTRATION OF UNITS ENGAGED IN
PROCESSING OR RECYCLING OF PLASTIC WASTE**

1.	Name and Address of the unit				
2.	Contact person with designation, Tel./Fax /email				
3.	Date of commencement				
4.	No. of workers (including contract labour)				
5.	Consents Validity	a. Water (Prevention & Control of Pollution) Act, 1974: Valid up to _____ b. Air (Prevention & Control of Pollution) Act, 1981: Valid up to _____ c. Authorization ; valid up to			
6.	Manufacturing Process	Please attach a flow diagram of the manufacturing process flow diagram for each product.			
7.	Products and installed capacity of production (MTA)	Products		Installed capacity	
8.	Waste Management:	S No	Type	Category	Qty.
	a. Waste generation in processing plastic-waste	(i)			
		(ii)			
		(iii)			
	b. Waste Collection and transportation (attach details)				
c. Waste Disposal details	S No	Type	Category	Qty	
	(i)				

		(ii)			
	d. Provide details of the disposal facility, whether the facility is authorized by SPCB or PCC				
	e. Please attach analysis report of characterization of waste generated (including leachate test if applicable)				
9.	Details of plastic waste proposed to be acquired through sale, auction, contract or import, as the case may be, for use as raw material	(i) Name (ii) Quantity required /year			
10.	Occupational safety and health aspects	Please provide details of facilities			
11.	Pollution Control Measures				
	Whether the unit has adequate pollution control systems or equipment to meet the standards of emission or effluent.	If Yes, please furnish details			
	Whether unit is in compliance with conditions laid down in the said rules.	Yes/No			
	Whether conditions exist or are likely to exist of the material being handled or processed posing adverse immediate or delayed impacts on the environment.	Yes/No			
	Whether conditions exist (or are likely to exist) of the material being handled or processed by any means capable of yielding another material (e.g. leachate) which may possess eco-toxicity.	Yes/No			
12.	Any other relevant information including fire or accident mitigative measures				
13.	List of enclosures as per rule				

Name and Signature

Designation

Date :

Place :

List of the documents required for manufacturers of Plastic Raw Material

1st Time Registration

1. Application in Form-III
2. Certificate of registration issued by DIC or any other govt. agencies authorized in this regard.
3. List of producers and quantum of raw materials supplied to producers.
4. Valid Consent to operate under Water Act, 1974 and Air Act, 1981
5. List of person supplying plastic to be used as raw material to manufacturer plastic sheet of like or multilayer packaging.
6. List of personnel or Brand Owners to whom the products will be supplied.

1st time renewal of Registration

1. Application in Form-III
2. Valid Consent to operate under Water Act, 1974 and Air Act, 1981.
3. Certificate regarding no change in capacity /process.

If there is any change, then following documents are also required.

1. List of person supplying plastic to be used as raw material to manufacturer plastic sheet of like or multilayer packaging.
2. List of personnel or Brand Owners to whom the products will be supplied.

Auto Renewal of Registration

1. Application in Form-III
2. Valid Consent to operate under Water Act, 1974 and Air Act, 1981.
3. Certificate regarding no change in capacity /process.

FORM - III
[See rules 13(4)]

APPLICATION FOR REGISTRATION FOR MANUFACTURERS of plastic raw materials

From:
.....
.....(Name and full address of the occupier)

To
The Member Secretary,
..... Pollution Control Board or Pollution Control Committee

.....
.....
Sir,

I/We hereby apply for registration under the Plastic Waste Management Rules, 2011

PART - A GENERAL	
1.(a)	Name and location of the unit
(b)	Address of the unit
(c)	In case of renewal, previous registration number and date of registration
2.	Is the unit registered with the DIC or DCSSI of the State Government or Union territory? If yes, attach a copy.
3.(a)	Total capital invested on the project
(b)	Year of commencement of production
(c)	List of producers and quantum of raw materials supplied to producers
Name and Signature	
Date :	Designation
Place :	

Annexure-D

Spot inspection report under PWM Rules, 2016

1.	Name of the unit.	
2.	Address of the unit.	
3.	Date of Receipt of application.	
4.	Capital investment cost of the unit.	
5.	Date of inspection.	
6.	Date of completion of the project.	
7.	Name & designation of the officers conducted inspection.	
8.	Name of the representative of the unit present at the time of inspection.	
9.	Category of Plastic Waste to be processed.	
10.	No. & Date of NOC(Consent to establish).	
11.	No. & Date of Consent (Consent to operate) (only in case of renewal of registration).	
12.	Status of maintaining of records of Plastic Waste handled or generated on form 2 at site. (Only in case of renewal of registration).	
13.	Status of up-to date annual returns under Plastic Waste (Management) Rules (only in case of renewal of authorization).	
14.	Latest Analysis reports for air emissions and effluent discharged, if applicable (only in case of renewal of authorization).	
15.	Whether the unit submitted the certificate of registration with DIC or any other agency, enclosed the copy of the same.	
16.	The installed capacity of plant and machinery.	
17.	The installed capacity assessed by Industry Department or any other Authority for this purpose (in case of recycler).	
18.	The proof of installed capacity of plant and machinery issued by Industries Department, Haryana or any other Govt. agency authorized, enclosed copy of the same.	

19.	The source of Plastic Waste to be procured.	
20.	Quantity of Plastic Waste to be reprocessed.	
21.	Detail of recycling processes (The following processes should be employed by recyclers: (i) Shredding/crushing/fine grinding/wet grinding / enrichment operations, gravity / magnetic / density / eddy current separation etc. (ii) Chemical leaching.	
22.	Land details with area (As a general rule a recycler of capacity of 1 Ton per day shall require a minimum of 500 square meters area. Authorisation to recyclers may be preferred if they have minimum operational capacity of 5 MT/day with an area of about 2500 square meter).	
23.	Noise control arrangement (Noise control arrangement for equipment like crusher, grinder and shredder needs to be provided).	
24.	Status of acoustic enclosures on DG sets with stack height along with detail of DG sets.	
25.	Height of Stacks/chimneys for all sources/APCM.	
26.	Detail of membership/agreement with TSDF operators for disposal of hazardous waste, if required.	
27.	Detail of segregation operations.	
28.	Detail of storage facility with area and with the comment on adequacy.	
29.	Detail of weigh bridge along with number and capacity.	
30.	Other details of arrangements and facilities provided as site as per CPCB guidelines (not covered above).	
31.	Details of the personal protective equipments provided to workers for recycling operations.	
32.	Detail of shortcomings/deficiencies in facility at site (as per CPCB guidelines).	

33.	Comments of the team regarding installation of facility for handling, recycling of Plastic Waste with Environment sound technology in environmental sound manner with adequacy of pollution control measures as per provisions of Plastic Waste (Management) Rules, 2016 & guidelines issued there under by CPCB:	
34.	Recommendations :-	